

*Telecommunications (Confidentiality in Network and Services)
Regulations*

SAINT LUCIA

No. 17 of 2002

ARRANGEMENT OF REGULATIONS

PART I
PRELIMINARY

Regulation

1. Citation
2. Commencement
3. Interpretation

PART II

INTERCEPTION MONITORING STOPPAGE

4. Activation of intercepted communication
5. Strict measures of control
6. Court order
7. Officials to act within strict guidelines
8. Employee to execute agreement
9. Report of improper activities
10. Employee's suspension of duties ending investigation
11. Accurate records of interception
12. Reporting obligations to Commission
13. No acceptance of dangerous transmission

PART III

NON-INTERCEPTION OF TRANSMISSIONS BY MEMBERS OF THE PUBLIC

14. No interception by members of public
15. Reporting obligation on illegal activity
16. Reporting obligation on actual illegal activity

PART IV

CONFIDENTIALITY IN RESPECT OF SUBSCRIBER

17. Strict control measures
18. Employee to execute agreement
19. Confidentiality of subscriber
20. Permission to be first obtained
21. Grounds for disclosure of proprietary network information

*Telecommunications (Confidentiality in Network and Services)
Regulations*

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STATUTORY INSTRUMENT, 2002, No. 17

[3rd March, 2002]

In exercise of the power conferred under section 74 of the Telecommunications Act, 2000, No. 27, the Minister responsible for Telecommunications makes these Regulations:

PART 1 PRELIMINARY

Citation

1. These Regulations may be cited as the Telecommunications (Confidentiality in Network and Services) Regulations, 2002.

Commencement

2. These Regulations shall be deemed to have come into force on the 1st day of April, 2001.

Interpretation

3. In the Regulations :

“Act” means the Telecommunications Act, 2000, No. 27;

“authorized request” means a request received from the recipient of a transmission;

“Minister” means the Minister responsible for Telecommunications;

“subscriber personal information” means information of a personal nature relating to a telecommunications subscriber that discloses the address, marital status, financial status, occupation or other identifying information that is unrelated or incidental to the provision of telecommunications services;

“subscriber proprietary network information” means information that relates to the quantity, technical configuration, type, destination, and amount of use of a telecommunications service subscribed to by any subscriber of a telecommunications provider, and that is made available to the telecommunications provider by the subscriber solely, by virtue of the customer-provider relationship;

*Telecommunications (Confidentiality in Network and Services)
Regulations*

PART 11

INTERCEPTION MONITORING STOPPAGE

Activation of intercepted communication

4. A telecommunications provider shall ensure that any interception of communications within its network is capable of being activated only when authorised by the receiver of a transmission, or in accordance with a court order.

Strict measures of control

5. A telecommunications provider shall :

- (a) appoint a senior employee or officer with the responsibility for ensuring that the interception of communications can be activated only when authorised by the receiver or in accordance with a court order; and
- (b) authorise designated employees or officers to engage where necessary in lawful interception activities.

Court order

6. A telecommunications provider shall not implement any interception of transmissions over a public telecommunications network or telecommunications apparatus unless the telecommunications provider receives a court order authorising law enforcement officials to intercept transmissions over the public telecommunications network or apparatus.

Officials to act within strict guidelines

7. A telecommunications provider shall ensure that any employee or officer that it appoints pursuant to regulation 6 only effects interceptions that are authorised and except to a person who has made an authorized request or when authorized by a court order to a law enforcement official.

Employee to execute agreement

8.— (1) An employee of a telecommunications provider who is designated and authorised receive and implement interception orders, or certifications, shall execute a non-disclosure agreement which shall be kept as part of that employee's permanent records.

(2) The terms of the agreement referred to sub-regulation (1) shall survive any reassignment of the employee to other duties, or the termination or departure of the employee from the employment of the telecommunications provider.

*Telecommunications (Confidentiality in Network and Services)
Regulations*

Report of improper activities

9.— (1) A telecommunications provider shall report to law enforcement officials without delay any act:

- (a) of unlawful electronic surveillance that has occurred on its premises; and
- (b) which compromises the duty to report once provider becomes aware.

(2) A telecommunications provider shall report to law enforcement officials without delay any transmission which is accepted and appears likely to threaten the national security or is contrary to public order.

Employee's suspension of duties ending investigation

10.— (1) Where there are reasonable grounds to suspect that an employee of a telecommunications provider is about to engage or may have engaged in illegal surveillance activity, that employee shall be reassigned to other duties or suspended pending the outcome of an investigation.

(2) An employee who has been reassigned or suspended shall not be allowed to have access to any equipment whereby proper investigations may be compromised.

Accurate records of interception

11.— (1) A telecommunications provider shall maintain accurate, complete and secure records of any interception of communications.

(2) Records of any interception of communication shall include the:

- (a) court order;
- (b) identity of the law enforcement officer who presented the court order;
- (c) name and signature of the telecommunications provider's employee responsible for overseeing the interception of the communications;
- (d) start date and time of the interception;
- (e) telephone and circuit identification number or numbers involved; and

*Telecommunications (Confidentiality in Network and Services)
Regulations*

(f) telegraphic, facsimile, telephonic or any other such type of communication.

(3) The Records of Interception shall be compiled either contemporaneously, or within a reasonable period of time following the initiation of the interception of the communications and such period shall not exceed 90 days.

(4) A telecommunications provider shall maintain a record of all intercepted communications for a period of 6 years.

Reporting obligations to commission

12.— (1) A telecommunications provider shall report to the Commission on a quarterly basis any:

- (a) compromises or suspected compromises of interceptions; and
- (b) violation of its security policies and procedures.

(2) A telecommunications provider shall be obligated to report to the Commission without delay any violation or compromise relating to subscriber's:

- (a) personal information; or
- (b) proprietary network information.

No acceptance of dangerous transmission

13. A telecommunications provider shall not accept any form of transmission which appears likely to threaten the national security or is contrary to public order in Saint Lucia.

PART 111

NON-INTERCEPTION OF TRANSMISSION BY MEMBERS OF THE PUBLIC

No interception by members of public

14. Any member of the public, including a radio amateur using radio equipment or modified commercial equipment, shall not intercept or interrupt any message transmitted over a public telecommunications network or telecommunications apparatus.

*Telecommunications (Confidentiality in Network and Services)
Regulations*

Reporting obligation on illegal activity

15. Where there are reasonable grounds to suspect that a member of the public is about to or is in the process of engaging in illegal surveillance activity, the telecommunications provider or any concerned party shall report the activity to law enforcement officials without delay.

Reporting obligation on actual illegal activity

16. A telecommunications provider shall be obliged to report to the Commission and law enforcement officials without delay any act of unlawful electronic surveillance that has occurred on its premises by an unauthorised member of the public.

PART 1V

CONFIDENTIALITY IN RESPECT OF SUBSCRIBER

Strict control measures

17. A telecommunications provider, shall establish policies and procedures to facilitate the strictest supervision and control of its employees or officers who have or might have access to subscriber personal information or subscriber proprietary network information.

Employee to execute agreement

18. — (1) An employee of a telecommunications provider who has access to subscriber personal information or subscriber proprietary network information shall execute a non-disclosure agreement which shall be kept as part of that employee's permanent records.

(2) The terms of the agreement referred to sub-regulation (1) shall survive any reassignment of the employee to other duties or the termination or departure of the employee from the employment of the telecommunications provider.

Confidentiality of subscriber

19. The subscriber's personal information or subscriber's proprietary network information is confidential information, and shall not be disclosed by an employee or officer of a telecommunications provider without the consent of the subscriber or pursuant to a court order.

*Telecommunications (Confidentiality in Network and Services)
Regulations*

Permission to be first obtained

20. A telecommunications provider or publisher of subscriber and lists directories must first obtain the consent of a subscriber before listing the subscriber's personal information in a telephone directory.

Grounds for disclosure of proprietary network information

21. A telecommunications provider may use or disclose subscriber proprietary network information only if it is necessary to:

- (a) protect users of those services and other telecommunications providers from fraudulent, abusive, unlawful use of, or subscription to such services; or
- (b) provide the telecommunications services to which the proprietary customer has subscribed.

Made this 20th day of February, 2002.

FELIX FINISTERRE,

Minister responsible for Telecommunications.